

08/09/01

08-10-01

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

Anne Countryman

Title

Decorative Reflective Walking Cone

Express Mail Label No.

EK 523562776 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. ☐ Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information:

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or

Correspondence address below

Name

Anne Countryman

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1040 Grissom Dr.

City

Palatine

State

IL

Zip Code

60074

Country

U.S.A.

Telephone

847-358-1341

Fax

847-358-1342

Name (Print/Type)

Anne Countryman

Registration No. (Attorney/Agent)

Signature

Anne Countryman

Date

08-09-01

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Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)
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1c872 U.S. PTO
09/927029
08/09/01

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	60/217,863	
	Filing Date	7/13/2000	
	First Named Inventor	Anne Countryman	
	Group Art Unit		
	Examiner Name	Tara Witcher	
Total Number of Pages in This Submission		Attorney Docket Number	

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal/Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below):
Remarks: I, Anne Countryman, claim Small Entity as a for-profit company		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Anne Countryman
Signature	Anne Countryman
Date	10-27-00

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>	
Typed or printed name	Anne Countryman
Signature	Anne Countryman
Date	11-16-00

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number 40/217,863
Filing Date 08/9/01
First Named Inventor Anne Countryman
Examiner Name _____
Group Art Unit _____
Attorney Docket No. _____

TOTAL AMOUNT OF PAYMENT (\$)

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number _____
Deposit Account Name _____

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	201	710	355	201	355	Utility filing fee	355
106	206	320	160	206	160	Design filing fee	
107	207	490	245	207	245	Plant filing fee	
108	208	710	355	208	355	Reissue filing fee	
114	214	150	75	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) 355

2. EXTRA CLAIM FEES

Total Claims - 20** = X =
Independent Claims - 3** = X =
Multiple Dependent =

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	203	18	9	203	9	Claims in excess of 20
102	202	80	40	202	40	Independent claims in excess of 3
104	204	270	135	204	135	Multiple dependent claim, if not paid
109	209	80	40	209	40	** Reissue independent claims over original patent
110	210	18	9	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	205	130	65	205	65	Surcharge - late filing fee or oath	
127	227	50	25	227	25	Surcharge - late provisional filing fee or cover sheet	
139	239	130	130	239	130	Non-English specification	
147	247	2,520	2,520	247	2,520	For filing a request for ex parte reexamination	
112	212	920*	920*	212	920*	Requesting publication of SIR prior to Examiner action	
113	213	1,840*	1,840*	213	1,840*	Requesting publication of SIR after Examiner action	
115	215	110	55	215	55	Extension for reply within first month	
116	216	390	195	216	195	Extension for reply within second month	
117	217	890	445	217	445	Extension for reply within third month	
118	218	1,390	695	218	695	Extension for reply within fourth month	
128	228	1,890	945	228	945	Extension for reply within fifth month	
119	219	310	155	219	155	Notice of Appeal	
120	220	310	155	220	155	Filing a brief in support of an appeal	
121	221	270	135	221	135	Request for oral hearing	
138	238	1,510	1,510	238	1,510	Petition to institute a public use proceeding	
140	240	110	55	240	55	Petition to revive - unavoidable	
141	241	1,240	620	241	620	Petition to revive - unintentional	
142	242	1,240	620	242	620	Utility issue fee (or reissue)	
143	243	440	220	243	220	Design issue fee	
144	244	600	300	244	300	Plant issue fee	
122	222	130	130	222	130	Petitions to the Commissioner	
123	223	50	50	223	50	Processing fee under 37 CFR 1.17(q)	
126	226	180	180	226	180	Submission of Information Disclosure Stmt	
581	581	40	40	581	40	Recording each patent assignment per property (times number of properties)	
146	246	710	355	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	249	710	355	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	279	710	355	279	355	Request for Continued Examination (RCE)	
169	269	900	900	269	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	<u>Anne Countryman</u>	Registration No. (Attorney/Agent)	_____	Telephone	<u>847-358-1341</u>
Signature	<u>Anne Countryman</u>	Date	<u>08-09-01</u>		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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